



OSUN STATE UNIVERSITY, OSOGBO

DEPARTMENT OF INFORMATION TECHNOLOGY

SEN 201/CSC 401: Introduction to Software Engineering

Lecture Notes



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- It is mandatory for the programmers to follow the coding standards. Compliance of their code to coding standards is verified during code inspection. Any code that does not



conform to the coding standards is rejected during code review and the code is reworked by the concerned programmer.

- In contrast, coding guidelines provide some general suggestions regarding the coding style to be followed but leave the actual implementation of these guidelines to the discretion of the individual developers.

Usually code review is carried out to ensure that the coding standards are followed and also to detect as many errors as possible before testing. Reviews are an efficient way of removing errors from code.

Coding Standards and Guidelines:

Good software development organisations usually develop their own coding standards and guidelines.

Representative coding standards:

- **Rules for limiting the use of globals:** These rules list what types of data can be declared global and what cannot, with a view to limit the data that needs to be defined with global scope.
- **Standard headers for different modules:** The header of different modules should have standard format and information for ease of understanding and maintenance.
- **Naming conventions for global variables, local variables, and constant identifiers:** A popular naming convention is that variables are named using mixed case lettering. Example :GlobalData, localData, CONSTDATA
- **Conventions regarding error return values and exception handling mechanisms:** The way error conditions are reported by different functions in a program should be standard within an organisation.

Representative coding guidelines:

- **Do not use a coding style that is too clever or too difficult to understand:** Code should be easy to understand. Many inexperienced engineers actually take pride in writing cryptic and incomprehensible code.
- **Avoid obscure side effects:** The side effects of a function call include modifications to the parameters passed by reference, modification of global variables, and I/O operations. An obscure side effect is one that is not obvious from a casual examination of the code. Obscure side effects make it difficult to understand a piece of code.
- **Do not use an identifier for multiple purposes:** Programmers often use the same identifier to denote several temporary entities. There are several things wrong with this approach and hence should be avoided.
- **Code should be well-documented:** As a rule of thumb, there should be at least one comment line on the average for every three source lines of code.



- **Length of any function should not exceed 10 source lines:** A lengthy function is usually very difficult to understand as it probably has a large number of variables and carries out many different types of computations.
- **Do not use GOTO statements:** Use of GOTO statements makes a program unstructured. This makes the program very difficult to understand, debug, and maintain.

Code Review:

- Testing is an effective defect removal mechanism. However, testing is applicable to only executable code.
- Review is a very effective technique to remove defects from source code. In fact, review has been acknowledged to be more cost-effective in removing defects as compared to testing.
- Code review for a module is undertaken after the module successfully compiles. That is, all the syntax errors have been eliminated from the module.
- Code review does not target to design syntax errors in a program, but is designed to detect logical, algorithmic, and programming errors.
- Code review has been recognised as an extremely cost-effective strategy for eliminating coding errors and for producing high quality code.
- Reviews directly detect errors, whereas testing only helps detect failures.
- Eliminating an error from code involves three main activities—testing, debugging, and then correcting the errors. Testing is carried out to detect if the system fails to work satisfactorily for certain types of inputs and under certain circumstances. Once a failure is detected, debugging is carried out to locate the error that is causing the failure and to remove it. Of the three testing activities, debugging is possibly the most laborious and time consuming activity.
- In code inspection, errors are directly detected, thereby saving the significant effort that would have been required to locate the error. Normally, the following two types of reviews are carried out on the code:
 - Code Inspection
 - Code Walkthrough

Code inspection.

- During code inspection, the code is examined for the presence of some common programming errors.
- The principal aim of code inspection is to check for the presence of some common types of errors that usually creep into code due to programmer mistakes and oversights and to check whether coding standards have been adhered to.
- The inspection process has several beneficial side effects, other than finding errors. The programmer usually receives feedback on programming style, choice of algorithm, and programming techniques. The other participants gain by being exposed to another programmer's errors.



- Good software development companies collect statistics regarding different types of errors that are commonly committed by their engineers and identify the types of errors most frequently committed.
- Such a list of commonly committed errors can be used as a checklist during code inspection to look out for possible errors.
- Following is a list of some classical programming errors which can be checked during code inspection:
 - Use of uninitialised variables.
 - Jumps into loops.
 - Non-terminating loops.
 - Incompatible assignments.
 - Array indices out of bounds.
 - Improper storage allocation and deallocation.
 - Mismatch between actual and formal parameters in procedure calls.
 - Use of incorrect logical operators or incorrect precedence among operators.
 - Improper modification of loop variables.
 - Comparison of equality of floating point values.
 - Dangling reference caused when the referenced memory has not been allocated.

Code walkthrough.

- Code walkthrough is an informal code analysis technique.
- In this technique, a module is taken up for review after the module has been coded, successfully compiled, and all syntax errors have been eliminated.
- A few members of the development team are given the code a couple of days before the walkthrough meeting.
- Each member selects some test cases and simulates execution of the code by hand.
- The main objective of code walkthrough is to discover the algorithmic and logical errors in the code.
- Even though code walkthrough is an informal analysis technique, several guidelines have evolved over the years. Guidelines are based on personal experience, common sense, and several other subjective factors.
 - The team performing code walkthrough should not be either too big or too small. Ideally, it should consist of between three to seven members.
 - Discussions should focus on discovery of errors and avoid deliberations on how to fix the discovered errors.
 - In order to foster cooperation and to avoid the feeling among the engineers that they are being watched and evaluated in the code walkthrough meetings, managers should not attend the walkthrough meetings.

Self Study:

☐ *Clean Room Testing*



Software Documentation:

When a software is developed, in addition to the executable files and the source code, several kinds of documents such as users' manual, software requirements specification (SRS) document, design document, test document, installation manual, etc., are developed as part of the software engineering process.

All these documents are considered a vital part of any good software development practice. Good documents are helpful in the following ways:

- Good documents help enhance understandability of code.
- Documents help the users to understand and effectively use the system.
- Good documents help to effectively tackle the manpower turnover problem
- Production of good documents helps the manager to effectively track the progress of the project

Different types of software documents can broadly be classified into the following:

Internal documentation:

- These are provided in the source code itself. Internal documentation can be provided in the code in several forms. The important types of internal documentation are the following:
 - Comments embedded in the source code.
 - Use of meaningful variable names.
 - Module and function headers.
 - Code indentation.
 - Code structuring (i.e., code decomposed into modules and functions).
 - Use of enumerated types.
 - Use of constant identifiers.
 - Use of user-defined data types.
- Even when a piece of code is carefully commented, meaningful variable names have been found to be the most helpful in understanding the code.

External documentation:

- These are the supporting documents such as SRS document, installation document, user manual, design document, and test document.
- A systematic software development style ensures that all these documents are of good quality and are produced in an orderly fashion.
- An important feature that is required of any good external documentation is consistency with the code.
- If the different documents are not consistent, a lot of confusion is created for somebody trying to understand the software.
- Every change made to the code should be reflected in the relevant external documents.
- Another important feature required for external documents is proper understandability by the category of users for whom the document is designed.
- ***Gunning's Fog Index:***
 - Gunning's fog index (developed by Robert Gunning in 1952) is a metric that has been designed to measure the readability of a document.

- The computed metric value (fog index) of a document indicates the number of years of formal education that a person should have, in order to be able to comfortably understand that document.
- The Gunning's fog index of a document D can be computed as follows:

$$\text{fog}(D) = 0.4 \times \left(\frac{\text{words}}{\text{sentences}} \right) + \text{per cent of words having 3 or more syllables}$$

Testing

- The aim of program testing is to help realise/identify all defects in a program.
- However, in practice, even after satisfactory completion of the testing phase, it is not possible to guarantee that a program is error free.
- This is because the input data domain of most programs is very large, and it is not practical to test the program exhaustively with respect to each value that the input can assume.
- We must remember that careful testing can expose a large percentage of the defects existing in a program

Testing terminology:

- **Mistake:** A mistake is essentially any programmer action that later shows up as an incorrect result during program execution. A programmer may commit a mistake in almost any development activity.
- **Error:** An error is the result of a mistake committed by a developer in any of the development activities. Among the extremely large variety of errors that can exist in a program. The terms error, fault, bug, and defect are considered to be synonyms.
- **Failure:** A failure of a program essentially denotes an incorrect behaviour exhibited by the program during its execution. An incorrect behaviour is observed either as an incorrect result produced or as an inappropriate activity carried out by the program.
- **Test-case:** A test case is a triplet [I, S, R], where I is the data input to the program under test, S is the state of the program at which the data is to be input, and R is the result expected to be produced by the program. The state of a program is also called its execution mode.
 - A *positive test case* is designed to test whether the software correctly performs a required functionality
 - A *negative test case* is designed to test whether the software carries out something that is not required of the system.
- **Test scenario:** A test scenario is an abstract test case in the sense that it only identifies the aspects of the program that are to be tested without identifying the input, state, or output. A test case can be said to be an implementation of a test scenario.
- **Test script:** A test script is an encoding of a test case as a short program. Test scripts are developed for automated execution of the test cases.
- **Test suite:** A test suite is the set of all tests that have been designed by a tester to test a given program.



- **Testability:** Testability of a requirement denotes the extent to which it is possible to determine whether an implementation of the requirement conforms to it in both functionality and performance.
- **Failure mode:** A failure mode of a software denotes an observable way in which it can fail.
- **Equivalent faults:** Equivalent faults denote two or more bugs that result in the system failing in the same failure mode.

Validation vs Verification:

- The objectives of both verification and validation techniques are very similar since both these techniques are designed to help remove errors in a software.
- The underlying principles of these two bug detection techniques and their applicability are very different.
- **Verification:**
 - Verification is the process of determining whether the output of one phase of software development conforms to that of its previous phase;
 - Verification is to check if the work products produced after a phase conform to that which was input to the phase.
 - Techniques used for verification include review, simulation, formal verification, and testing.
- **Validation:**
 - Validation is the process of determining whether a fully developed software conforms to its requirements specification
 - Validation is applied to the fully developed and integrated software to check if it satisfies the customer's requirements.
 - System testing can be considered as a validation step where it is determined whether the fully developed code is as per its requirements specification.

$$\text{Error detection techniques} = \text{Verification techniques} + \text{Validation techniques}$$

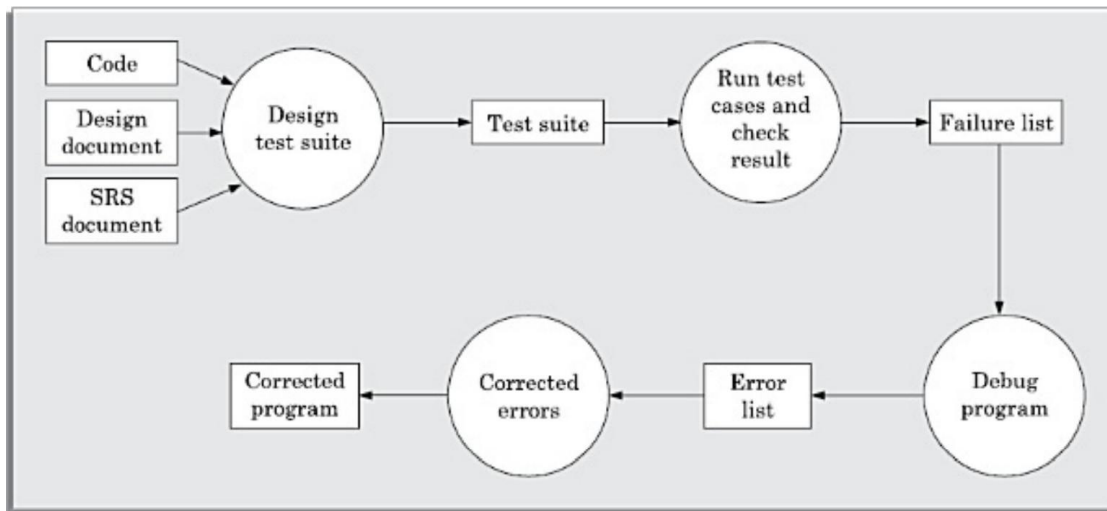
How to test a Program:

- Testing a program involves executing the program with a set of test inputs and observing if the program behaves as expected.
- If the program fails to behave as expected, then the input data and the conditions under which it fails are noted for later debugging and error correction.
- Unless the conditions under which a software fails are noted down, it becomes difficult for the developers to reproduce a failure observed by the testers.

Testing Activities:

- **Test suite design:** The set of test cases using which a program is to be tested is designed possibly using several test case design techniques.
- **Running test cases and checking the results to detect failures:** Each test case is run and the results are compared with the expected results. A mismatch between the actual result and expected results indicates a failure.
- **Locate error:** In this activity, the failure symptoms are analysed to locate the errors.

- **Error correction:** After the error is located during debugging, the code is appropriately changed to correct the error



Testing Process

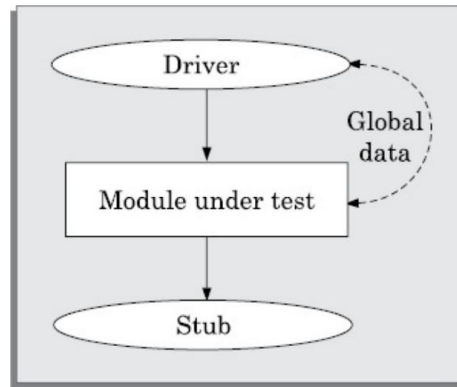
Self Study:

- ☐ *Why design test cases?*
- ☐ *Testing in small vs testing in Large?*

Unit Testing

- Unit testing is undertaken after a module has been coded and reviewed.
- This activity is typically undertaken by the coder of the module himself in the coding phase.
- Before carrying out unit testing, the unit test cases have to be designed and the test environment for the unit under test has to be developed.
- In order to test a single module, we need a complete environment to provide all relevant code that is necessary for execution of the module.
- That is, besides the module under test, the following are needed to test the module:
 - The procedures belonging to other modules that the module under test calls.
 - Non-local data structures that the module accesses.
 - A procedure to call the functions of the module under test with appropriate parameters.
- Modules required to provide the necessary environment (which either call or are called by the module under test) are usually not available until they too have been unit tested.
- In this context, stubs and drivers are designed to provide the complete environment for a module so that testing can be carried out.

Stub: A stub procedure is a dummy procedure that has the same I/O parameters as the function called by the unit under test but has a highly simplified behaviour.



Driver: A driver module should contain the non-local data structures accessed by the module under test. Additionally, it should also have the code to call the different functions of the unit under test with appropriate parameter values for testing.

- Unit testing is referred to as testing in the small, whereas integration and system testing are referred to as testing in the large.

Black-Box testing:

- In black-box testing, test cases are designed from an examination of the input/output values only and no knowledge of design or code is required.
- The following are the two main approaches available to design black box test cases:
 - Equivalence class partitioning
 - Boundary value analysis

Equivalence class partitioning:

- In the equivalence class partitioning approach, the domain of input values to the program under test is partitioned into a set of equivalence classes.
- The partitioning is done such that for every input data belonging to the same equivalence class, the program behaves similarly.
- The main idea behind defining equivalence classes of input data is that testing the code with any one value belonging to an equivalence class is as good as testing the code with any other value belonging to the same equivalence class.
- Equivalence classes for a unit under test can be designed by examining the input data and output data.
- The following are two general guidelines for designing the equivalence classes:
 1. If the input data values to a system can be specified by a range of values, then one valid and two invalid equivalence classes need to be defined. For example, if the equivalence class is the set of integers in the range 1 to 10 (i.e., [1,10]), then the invalid equivalence classes are $[-\infty, 0]$, $[11, +\infty]$.
 2. If the input data assumes values from a set of discrete members of some domain, then one equivalence class for the valid input values and another equivalence class for the invalid input values should be defined. For example, if the valid equivalence

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classes are $\{A, B, C\}$, then the invalid equivalence class is $U - \{A, B, C\}$ where U is the universe of possible input values.

Boundary Value Analysis:

- A type of programming error that is frequently committed by programmers is missing out on the special consideration that should be given to the values at the boundaries of different equivalence classes of inputs.
- Boundary value analysis-based test suite design involves designing test cases using the values at the boundaries of different equivalence classes.
- To design boundary value test cases, it is required to examine the equivalence classes to check if any of the equivalence classes contains a range of values. For those equivalence classes that are not a range of values no boundary value test cases can be defined.
- For an equivalence class that is a range of values, the boundary values need to be included in the test suite. For example, if an equivalence class contains the integers in the range 1 to 10, then the boundary value test suite is $\{0,1,10,11\}$.

Summary of the Black-box Test Suite Design Approach:

We now summarise the important steps in the black-box test suite design approach:

- Examine the input and output values of the program.
- Identify the equivalence classes.
- Design equivalence class test cases by picking one representative value from each equivalence class.
- Design the boundary value test cases as follows. Examine if any equivalence class is a range of values. Include the values at the boundaries of such equivalence classes in the test suite.

White-Box Testing:

- White-box testing is an important type of unit testing. A large number of white-box testing strategies exist.
- Each testing strategy essentially designs test cases based on analysis of some aspect of source code and is based on some heuristic.

Basic Concepts:

A white-box testing strategy can either be coverage-based or fault based.

Fault-based testing: A fault-based testing strategy targets to detect certain types of faults.

These faults that a test strategy focuses on constitutes the fault model of the strategy..

Coverage-based testing: A coverage-based testing strategy attempts to execute (or cover) certain elements of a program.

Self study

- ☐ *Testing criterion*
- ☐ *Stronger versus weaker testing & Complementary testing strategies.*

- If a set of paths is linearly independent of each other, then no path in the set can be obtained through any linear operations (i.e., additions or subtractions) on the other paths in the set.

McCabe's Cyclomatic Complexity Metric:

- It is straightforward to identify the linearly independent paths for simple programs, for more complex programs it is not easy to determine the number of independent paths.
- McCabe's cyclomatic complexity metric is an important result that lets us compute the number of linearly independent paths for any arbitrary program.
- McCabe's cyclomatic complexity defines an upper bound for the number of linearly independent paths through a program.

1. Method 1:

Given a control flow graph G of a program, the cyclomatic complexity $V(G)$ can be computed as:

$$V(G) = E - N + 2$$

where, N is the number of nodes of the control flow graph and E is the number of edges in the control flow graph.

For the CFG of example shown in above figure (GCD function), $E = 7$ and $N = 6$. Therefore, the value of the Cyclomatic complexity $= 7 - 6 + 2 = 3$.

2. Method 2:

An alternate way of computing the cyclomatic complexity of a program is based on a visual inspection of the control flow graph.

In this method, the cyclomatic complexity $V(G)$ for a graph G is given by the following expression:

$$V(G) = \text{Total number of non-overlapping bounded areas} + 1$$

Consider the CFG example shown in above figure (GCD function). From a visual examination of the CFG the number of bounded areas is 2. Therefore the cyclomatic complexity, computed with this method is also $2+1=3$.

3. Method 3:

The cyclomatic complexity of a program can also be easily computed by computing the number of decision and loop statements of the program. If N is the number of decision and loop statements of a program, then the McCabe's metric is equal to $N + 1$.

Steps to carry out path coverage-based testing:

The following is the sequence of steps that need to be undertaken for deriving the path coverage-based test cases for a program:

1. Draw control flow graph for the program.
2. Determine the McCabe's metric $V(G)$.
3. Determine the cyclomatic complexity. This gives the minimum number of test cases required to achieve path coverage.
4. Repeat
 - a. Test using a randomly designed set of test cases.
 - b. Perform dynamic analysis to check the path coverage achieved.



Until at least 90 percent path coverage is achieved.

5. Data Flow-based testing:

- Data flow based testing method selects test paths of a program according to the definitions and uses of different variables in a program.
- Consider a program P. For a statement numbered S of P , let

$$\text{DEF}(S) = \{X / \text{statement } S \text{ contains a definition of } X\} \text{ and}$$

$$\text{USES}(S) = \{X / \text{statement } S \text{ contains a use of } X\}$$
- For the statement S: $a=b+c;$, $\text{DEF}(S)=\{a\}$, $\text{USES}(S)=\{b, c\}$.
- The definition of variable X at statement S is said to be live at statement S1 , if there exists a path from statement S to statement S1 which does not contain any definition of X .
- All definitions criterion is a test coverage criterion that requires that an adequate test set should cover all definition occurrences.
- All use criteria requires that all uses of a definition should be covered.

Fault-based Testing strategies:

Mutation Testing:

- Mutation testing is a fault-based testing technique in the sense that mutation test cases are designed to help detect specific types of faults in a program.
- In mutation testing, a program is first tested by using an initial test suite designed by using various white box testing strategies.
- After the initial testing is complete, mutation testing can be taken up.
- The idea behind mutation testing is to make a few arbitrary changes to a program at a time.
- Each time the program is changed, it is called a mutated program and the change effected is called a mutant.
- A mutation operator makes specific changes to a program.
- A mutant may or may not cause an error in the program.
- If a mutant does not introduce any error in the program, then the original program and the mutated program are called equivalent programs.
- A mutated program is tested against the original test suite of the program.
 - If there exists at least one test case in the test suite for which a mutated program yields an incorrect result, then the mutant is said to be dead, since the error introduced by the mutation operator has successfully been detected by the test suite.
 - If a mutant remains alive even after all the test cases have been exhausted, the test suite is enhanced to kill the mutant.
- Mutation testing involves generating a large number of mutants.
- Also each mutant needs to be tested with the full test suite.
- Obviously therefore, mutation testing is not suitable for manual testing.
- Several test tools are available that automatically generate mutants for a given program.

Integration Testing:

- Integration testing is carried out after all (or at least some of) the modules have been unit tested.
- Successful completion of unit testing, to a large extent, ensures that the unit (or module) as a whole works satisfactorily.
- In this context, the objective of integration testing is to detect the errors at the module interfaces (call parameters).
- The objective of integration testing is to check whether the different modules of a program interface with each other properly.
- During integration testing, different modules of a system are integrated in a planned manner using an *integration plan*.
- *The integration plan specifies the steps and the order in which modules are combined to realise the full system.*
- After each integration step, the partially integrated system is tested.
- By examining the structure chart, the integration plan can be developed.
- Any one (or a mixture) of the following approaches can be used to develop the test plan:

1. Big-bang approach to integration testing:

- Big-bang testing is the most obvious approach to integration testing. In this approach, all the modules making up a system are integrated in a single step.
- In simple words, all the unit tested modules of the system are simply linked together and tested.
- However, this technique can meaningfully be used only for very small systems.
- The main problem with this approach is that once a failure has been detected during integration testing, it is very difficult to localise the error as the error may potentially lie in any of the modules.

2. Bottom-up approach to integration testing:

- Large software products are often made up of several subsystems.
- A subsystem might consist of many modules which communicate among each other through well-defined interfaces.
- In bottom-up integration testing, first the modules for each subsystem are integrated.
- Thus, the subsystems can be integrated separately and independently.
- The primary purpose of carrying out the integration testing of a subsystem is to test whether the interfaces among various modules making up the subsystem work satisfactorily.
- In a pure bottom-up testing no stubs are required, and only test-drivers are required.

3. Top-down approach to integration testing:

- Top-down integration testing starts with the root module in the structure chart and one or two subordinate modules of the root module.
- After the top-level 'skeleton' has been tested, the modules that are at the immediately lower layer of the 'skeleton' are combined with it and tested.



- Top-down integration testing approach requires the use of program stubs to simulate the effect of lower-level routines that are called by the routines under test.
- A pure top-down integration does not require any driver routines.

4. Mixed approach to integration testing:

- The mixed (also called sandwiched) integration testing follows a combination of top-down and bottom-up testing approaches.
- In a top-down approach, testing can start only after the top-level modules have been coded and unit tested.
- Similarly, bottom-up testing can start only after the bottom level modules are ready.
- The mixed approach overcomes this shortcoming of the top-down and bottom-up approaches.
- In the mixed testing approach, testing can start as and when modules become available after unit testing.
- Therefore, this is one of the most commonly used integration testing approaches.
- In this approach, both stubs and drivers are required to be designed.

Self study:

- ☐ *Phased vs Incremental Integration Testing*

System Testing

- After all the units of a program have been integrated together and tested, system testing is taken up.
- System tests are designed to validate a fully developed system to assure that it meets its requirements.
- The test cases are therefore designed solely based on the SRS document.
- There are essentially three main kinds of system testing depending on who carries out testing:
 1. **Alpha Testing:** Alpha testing refers to the system testing carried out by the test team within the developing organisation.
 2. **Beta Testing:** Beta testing is the system testing performed by a select group of friendly customers.
 3. **Acceptance Testing:** Acceptance testing is the system testing performed by the customer to determine whether to accept the delivery of the system.
- In each of the above types of system tests, the test cases can be the same, but the difference is with respect to who designs test cases and carries out testing.
- The system test cases can be classified into functionality and performance test cases.
- Before a fully integrated system is accepted for system testing, smoke testing is performed.

Smoke Testing

- Smoke testing is carried out before initiating system testing to ensure that system testing would be meaningful, or whether many parts of the software would fail.



- The idea behind smoke testing is that if the integrated program cannot pass even the basic tests, it is not ready for vigorous testing.
- For smoke testing, a few test cases are designed to check whether the basic functionalities are working.
- The system test cases can be classified into functionality and performance test cases.

Performance Testing:

- Performance testing is an important type of system testing.
- Performance testing is carried out to check whether the system meets the nonfunctional requirements identified in the SRS document.
- There are several types of performance testing corresponding to various types of non-functional requirements.
- All performance tests can be considered as black-box tests.

1. Stress testing:

- Stress testing is also known as endurance testing.
- Stress testing evaluates system performance when it is stressed for short periods of time.
- Stress tests are black-box tests which are designed to impose a range of abnormal and even illegal input conditions so as to stress the capabilities of the software.
- Input data volume, input data rate, processing time, utilisation of memory, etc., are tested beyond the designed capacity.
- Stress testing is especially important for systems that under normal circumstances operate below their maximum capacity but may be severely stressed at some peak demand hours.

2. Volume testing:

- Volume testing checks whether the data structures (buffers, arrays, queues, stacks, etc.) have been designed to successfully handle extraordinary situations.

3. Configuration testing:

- Configuration testing is used to test system behaviour in various hardware and software configurations specified in the requirements.
- Sometimes systems are built to work in different configurations for different users.

4. Compatibility testing :

- This type of testing is required when the system interfaces with external systems (e.g., databases, servers, etc.).
- Compatibility aims to check whether the interfaces with the external systems are performing as required.

5. Regression testing:

- This type of testing is required when a software is maintained to fix some bugs or enhance functionality, performance

6. Recovery testing:

- Recovery testing tests the response of the system to the presence of faults, or loss of power, devices, services, data, etc.

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- The system is subjected to the loss of the mentioned resources (as discussed in the SRS document) and it is checked if the system recovers satisfactorily.

7. Maintenance testing:

- This addresses testing the diagnostic programs, and other procedures that are required to help maintenance of the system.
- It is verified that the artifacts exist and they perform properly.

8. Security testing:

- Security testing is essential for software that handle or process confidential data that is to be guarded against pilfering.
- It needs to be tested whether the system is fool-proof from security attacks such as intrusion by hackers.